

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

P. Bhagwat et al.

Serial No. 09/240,374

Filed: January 29, 1999

Date: March 18, 1999

Group:

Examiner:

Docket No. YO999-014

For: IMPROVING PERFORMANCE OF INTERMEDIATE NODES WITH FLOW
SPLICING

Commissioner of Patents and Trademarks
Washington, D.C. 20231



CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application
Declaration and Power of Attorney
Assignment
Recordation Form Cover Sheet
Deposit Account Authorization Form
Acknowledgment Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Commissioner of Patents and Trademarks
Washington, DC 20231**

on March 18, 1999

Sandra Emma
(Print name of person mailing paper)

Sandra Emma
(Signature of person mailing paper)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Pravin Bhagwat, et al.

Date: March 18, 1999

Serial No. 09/240,374

Group Art Unit: 2731

Filed: January 29, 1999

Docket: YO999-014

Title: IMPROVING PERFORMANCE OF INTERMEDIATE NODES WITH
FLOW SPLICING

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

DEPOSIT ACCOUNT AUTHORIZATION FORM

Sir:

In response to the Notice to File Missing Parts of Application dated February 17, 1999, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

Louis P. Herzberg

Reg. No. 41,500

Tel. No. (914) 945-2885

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/240,374	01/29/99	BHAGWAT	F Y0999-014

LOUIS P. HERZBERG
IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPARTMENT
P O BOX 218
YORKTOWN HEIGHTS NY 10598

0232/0217

NOT ASSIGNED

2731

DATE MAILED:

02/17/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

Due 4/17/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

☐ missing.

☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for independent claims over 3.

\$ _____ for dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date, is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☒ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825"

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts"

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FORM PTO-1533 (REV. 9-97)

BEST AVAILABLE COPY

03/29/1999 NTELEM 00000013 090468 09040374
01 FC:1051 \$50.00 CH